Analytical Capacity of the State

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UNED (Spanish Distance Learning University), Madrid and Hertie School of Governance, Berlin **Policy analytical capacity** refers to the <u>research</u> that the government produces, organizes or sponsors in order to understand, forecast and anticipate public opinion, users' need and policy impacts.

- Medium to long term
- Mostly related to agenda setting

Policy advice refers to the <u>provision</u> of analysis and information

- Short to long-term
- All stages of the policy process including policy implementation.

Can policy analysts and advisors speak truth to power?

UNED How to organize policy advice and analysis?

- Politically appointed ministerial cabinets, spin doctors or units in charge of doing the analysis
 - **Low** independence
 - High coordinating capacity of analysis
 - Knowledge can be centralised
 - Advice and analysis are intertwined
- Work commissioned to consultants, think tanks and research institutes
 - Medium independence
 - Medium to low coordination capacity of analysis
 - Knowledge can be dispersed
 - Advice has to be done still in-house
- Work contracted with an independent commission of experts
 - **High** independence
 - Governmental knowledge and analytical capacity is required to understand and discuss publicly the drafts.

Are there resources for evidence-based research?



- Decentralized policy analysis may aggravate coordination problems and "turf" wars
- Centralized policy analysis in units that "mirror" individual ministers might create a positive dialogue, but also a source of distrust
- What is the case for centralisation? Many society problems require whole-of-government approach

UNED How to recruit policy analysts?

- Politically and temporarily appointed analysts to advisory units
 - Short-termism of policy analysis
- Analysts as professional civil servants require some type of career perspective
 - They may give stability to the analysis of long-term problems
- Examples of professional civils servants of Canada
 - **Public Opinion Research and Environmental Analyst:** Contract type: Temporary - Acting, Lateral Move
 - **Senior Policy Analyst:** *Employment Type: Permanent Full-time*

Analysts can be bundled for career prospective

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Self-assessment of (whole of the government) policy analytical capacity

Government

Government change

Does it hinder permanent analytical capacity?

Coalition government

Do coalition agreements accept whole of government functions?

Time

Is there a long term perspective in government?

Assets

Skills

Are there available skills on quantitative and qualitative techniques?

Information

- Do ministerial ICT systems exchange knowledge?

- Is quantity and quality of information fit for purpose?

Financing

Is it sufficient for primary research?

Use

Agenda setting

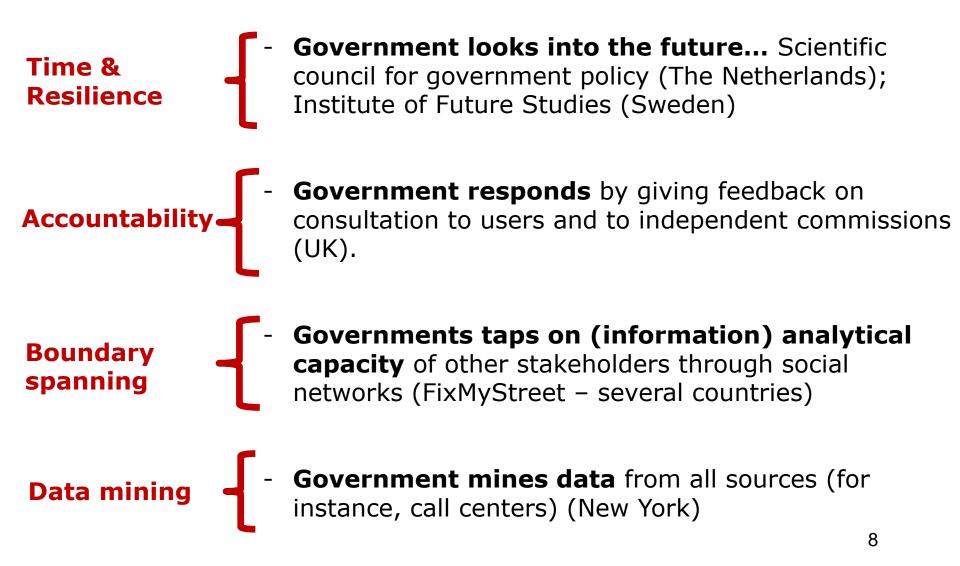
Is analysis used and provided in a timely manner?

Most of the times, the analytical capacity is **assessed as low and mixed with policy advice.**

Criteria among countries differ, but common problems persist:

- **Effectiveness** and **quality** of policy (advice) suffers.
- **Low satisfaction** with (advice) during the policy-making process
- **Mismatch** between advice and ministers' priorities
- **Poor integration of external views** from experts and citizens

Source: Several government reports: Australia, Canada, New Zealand.



Scientific council for government policy (The Netherlands)

- **Consults the program** with a range of stakeholders
- Final approval by the Prime Minister
- Operates with **coalition governments**
- Project groups: Council's staff, seconded researchers and policy-makers, external experts
- **Process**: Peer review
- **Report nature**: Advisory and exploratory reports

The government of the UK gets external analysis from independent commissions

- Normally headed by well respected professionals above party politics
- The commission delivers a report using government resources in an independent way
- Reports are responded by government with the reasoned acceptance or not of recommendations

Examples:

- Report on: Foreign Involvement in the Critical National
 Infrastructure
- Response: Government's Response to the Report on Foreign Involvement in the Critical National Infrastructure

Below are some of the issues we have recently consulted on and their outcomes: <u>Reform</u> of regulatory system to control small sewage discharges from septic tanks and small sewage treatment plants in England

We Asked: As part of efforts to improve environmental regulations, last year we proposed simplifying the regulations for septic tanks and small sewage treatment plants in less sensitive areas and taking a risk based approach to permitting of these systems in the most sensitive areas where a higher level of protection is needed. **We proposed retaining the rules that control and prevent pollution, and for these to become known as general binding rules applying to the whole country**.

You Said: Responses to the consultation broadly supported the proposals but highlighted concerns about: the loss of information that could have been gathered from registration to help support efforts to improve catchment areas and water bodies; sufficiently protecting sensitive areas and rare habitats; and that any changes needed to be communicated to households and businesses.

We Did: A government response was published in October 2014. In January 2015, new rules were introduced see www.gov.uk/small-sewage-rules. The new approach focuses on making sure septic tanks and sewage treatment plants are maintained in good working order, correctly installed and not causing pollution. **We are working with catchment partnerships, parish councils, service companies and others to communicate the rules to households and businesses**. See our leaflet Your Sewage – Your Environment.

Source: https://consult.defra.gov.uk/we_asked_you_said

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Government taps on (information) analytical capacity...



- Created in 2007.
- Citizens can report common problems on their

street.

- Report documented on the site + relevant council informed.
- Prevents same problem being reported multiple times.
- See how many issues are reported in locality

and what the council does about it, which councils are most and least effective.

As of 22 Feb 2015:

✓ Past week – 2,003 reports – Nov 12and 4427 in Feb 2015

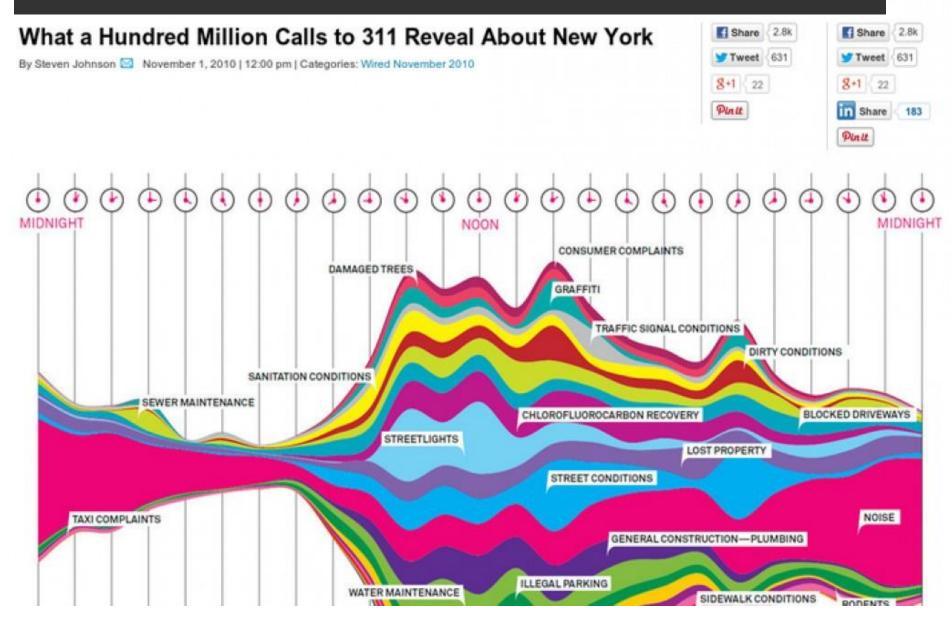
✓Past month – 3,201 problems fixed in Nov 2012; 7604 in Feb 2015

✓ So far... 812,473 updates on reports from 233,757 in Nov 2012 and from 166,540 in Nov 2011

Example: Serpentine Road

Harborne, Birmingham B17 9RD

Government mines data...



8.11



- Sound policy analysis is key to policy advise
- Even in the presence of "silo" departments, whole of government analytical capacity is key because some society problems cut across party lines
- Policy analysis requires permanent assets from inhouse skills, outside experts and citizen-experts, financing and willingness to exchange knowledge
- Governments need to look into the future, account for policy analysis, tap on society resources and mine unexplored data